

投資環球股票客戶須知

電話落盤/網上交易

本公司之電話落盤熱線為 3691-8889。

可以投資股票市場資料

市場	交易所	交易時段 (香港時間)	交收日	可提取款項日
美國	紐約證券交易所 (NYSE)	21:30 - 04:00	T+3	T+4
	美國證券交易所 (AMEX)	(夏令時間 4 月至 10 月)		
	納斯達克證券市場 (Nasdaq)	22:30 - 05:00 (冬令時間 10 月底到 3 月)		
日本	東京證券交易所 (TSE)	08:00 - 14:00	T+3	T+4
澳洲	澳洲交易所 (ASX)	07:00 - 13:00	T+3	T+4
新加坡	新加坡交易所 (SGX)	09:00 - 17:00	T+3	T+4
韓國	韓國交易所 (KRX)	08:00 - 14:00	T+2	T+3
台灣	台灣證券交易所 (TWSE)	09:00 - 13:30	T+2	T+3

落盤種類

現時只提供兩種落盤種類：市價盤 (market order) 及限價盤 (limit order)。

● 市價盤 (market order)

市價盤是以當時最佳價位，即時進行買賣的指示。這指示並不保證買賣價位，但會立即執行。若你買入股票，便會以接近沽價的價位買入；若你要沽出股票，則會以接近買價的價位賣出。

● 限價盤 (limit order)

限價盤則設定你希望買入或賣出股票的最高或最低價位。

交易須知

- 非美國籍人士須填寫並提交一份填妥的W-8BEN表格以買賣美國股票，而W-8BEN表格需每三年申報一次。
- 美股買賣並非以每手為交易單位，客戶最少可以以一股進行買賣。
- 客戶需要在帳戶存入足夠的資金，方能進行買入環球股票。但有關款項會在交收日才正式扣取，即使該日是香港公眾假期。

買賣美國預托證券額外費用

客戶如進行買賣美國預托證券 (American Depositary Receipt)，須留意若在該預托證券公佈之截止日期當日仍持有此預托證券，則需繳付 ADR Depositary Service Fee (非美國本土公司而在美國上市的公司費用)，大致為每股 1-2 美仙，而該費用一般收取時間會在該股截止日期後一兩個月內，所以如客戶沽出美國預托證券後而立刻提款時，本公司有權要求客戶預留足夠款項在該戶口內作為日後扣除該費用或要求客戶存入有關費用到本公司的帳戶內。

(備註：交收日期為截止日期前一天，才可免除此費用)

日期	年/月/日

沽空 / 融資

本公司之環球股票暫不提供融資服務，亦不接受任何沽空指令。

交易指示有效期

本公司只限即日有效交易，由送出交易指示開始，交易指示會一直保留到收市，如仍未能於指定價成交，交易指示於收市後交易時段無效。

查詢美股報價及代號

美股代號通常分為 1 個到 4 個英文字母，例如花旗銀行為 C，通用電氣為 GE，美國銀行為 BAC，而微軟為 MSFT。客戶可以透過以下即時報價網頁尋找股票代號：

<http://finance.google.com/finance>

<http://www.cnbc.com/>

<http://finance.yahoo.com/>

匯率風險

倘若客戶以港幣兌換美元或任何其它貨幣用作投資環球股票，一旦美元或任何其它貨幣貶值，客戶其後將美元或任何其它貨幣兌回港幣時將承受兌換損失。美元或任何其它貨幣匯率的波動乃受美國及國際的經濟、政治、政府政策及其他多種因素影響。

確認

本人已詳閱及清楚明白並同意以上須知之內容。

客戶簽署

客戶號碼：_____

註：以上所載資料如有更改，恕不另行通知，並應以本公司網頁上所載為準。
以上各條款本公司保留最終解釋權及決定權

Points to Note on Global Stock Trading

Phone-in/ Internet Trading

Our Dealing Hotline is 3691-8889.

Market Information

Market	Exchange	Trading Hours (HK Time)	Settlement Day	Fund Available Day
United States	New York Stock Exchange (NYSE) American Stock Exchange (AMEX) NASDAQ Stock Market (NASDAQ)	Summer (Apr – Oct): 21:30 – 04:00 Winter: (End of Oct – Mar) 22:30 – 05:00	T+3	T+4
Japan	Tokyo Stock Exchange (TSE)	08:00 – 14:00	T+3	T+4
Australia	Australian Securities Exchange (ASX)	07:00 – 13:00	T+3	T+4
Singapore	Singapore Exchange (SGX)	09:00 – 17:00	T+3	T+4
Korea	Korea Exchange (KRX)	08:00 – 17:00	T+2	T+3
Taiwan	Taiwan Stock Exchange (TWSE)	09:00 – 13:30	T+2	T+3

Order Types

Currently the Company provides two types of order: market order and limit order.

- **Market Order**

Market order is a buy or sell order to be executed immediately at the best available price at the relevant time. However, the price at which a market order will be executed is not guaranteed. For buy order, the order will be executed at the price closes to the prevailing ask Price. For sell order, the order will be executed at the price closes to the prevailing bid Price.

- **Limit Order**

Limit order is an order to buy a stock at no more than a specific price, or to sell a security at no less than a specific price.

Trading Particulars

- Non-U.S. persons are required to complete and submit a duly completed W-8BEN Form for trading U.S. stocks. Such W-8BEN Form has to be renewed every 3 years.
- There is no restriction on lot size for the US stocks, and the clients can buy as less as one share.
- The clients are required to deposit sufficient funds in the accounts prior to any buy order. The actual debit of the money will take place on the settlement day, even it is a Hong Kong public holiday.



Fulbright Securities Limited
富昌證券有限公司

日期	年/月/日

Additional Fees for Trading on American Depositary Receipt (“ADR”)

For clients trading on ADR, if the clients held ADR positions as of a record date, the Company will charge the clients the ADR Depository Service Fee (“DSF Fee”), which is a fee for non-US companies listed in the United States. The DSF Fee will be charged approximately US\$0.01 - 0.02 per share within one to two month after the DSF record date. If the clients want to withdraw funds immediately after selling of ADR, the Company has the rights to request the client to retain sufficient amount in his/her account for deducting the future payment of fee or deposit the relevant payment to the Company’s bank account.

(Note: Such fee can be waived if the Settlement date for sell order is one day prior the DSF record date.)

Short Selling/ Margin Facilities

The Company does not provide any margin facilities for Global stock account. Short selling is not available.

Order Validity

The Company accepts day order only. Once the order instruction being placed, it will remain in the trading system until market close. If the order is unfilled, it will not carry to the extended market hours.

US Stock Quote & Code Enquiry

US stocks quote are generally classified into 1 to 4 letters. For instance, the code for Citigroup is C, General Electric is GE, Bank of America is BAC, and Microsoft is MSFT.

http://finance.google.com/finance	http://www.cnbc.com/	http://finance.yahoo.com/
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Exchange rate risk

Client who converts Hong Kong Dollars into US Dollars or other foreign currencies for global stock trading will be exposed to exchange losses if Hong Kong Dollars depreciates when client converts his/her US Dollars or other foreign currencies back into Hong Kong Dollars. Fluctuation in the exchange rates of US Dollars or other foreign currencies is subject to the economic, political factors, government policies and other factors in the United States and globally.

Acknowledgement

I have read, understand, and agree to the terms set forth in this note

Signature

Account Number: _____

Note: Changes or amendment of the information might be made at any time without prior notice. These terms and conditions are subject to the interpretation and discretion of the Company which shall be final and conclusive.