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| 日期 | 年/月/日 |
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常設授權（客戶證券） — 外地證券

致： 富昌證券有限公司（“富昌”）（中央編號：AFB820）
香港中環港景街一號國際金融中心一期 3213-16 室

有關：根據《證券及期貨（客戶證券）規則》所設立的常設授權

有關在以下客戶賬戶（“賬戶”）的外地證券（現金客戶協議書或保證金客戶協議書中所界定，視乎具體情況而定）之交易及管理，以下簽署的客戶（“客戶”）謹此授權富昌處理有關客戶在外地的客戶證券交易事宜。

除文義另有所指外，於本授權內的任何名詞，《證券及期貨條例》及《證券及期貨（客戶證券）規則》不時修訂之定義具有相同意思。

客戶謹此授權富昌酌情處理客戶之外地證券以作不時之存放及持有於富昌委任之持牌證券經紀或託管人（“託管人”）。

本授權書賦予富昌之授權乃鑑於富昌同意繼續維持客戶之賬戶。

本授權書並不涉及就富昌存放客戶任何外地證券而須支付或收取的任何代價。任何代價均須由客戶與富昌另行簽約訂明。

直至另行通知，客戶的外地證券將由託管人所持有。當託管人為外地證券及其他有關外地證券的財產之託管人時，富昌及託管人將被授權，在受管制於客戶指示下，將該等外地證券以富昌或託管人或其任命人的名義登記或致使該等外地證券以認可託管或結算機構名義登記。

客戶明白及確認如富昌或託管人代客戶持有的外地證券為可贖回證券*，當發行商要求收回部分該等證券時，該等證券將經由富昌或其公平的抽籤分配系統，以所有客戶在富昌或託管人持有該等證券的總數按比例被收回。客戶亦明白富昌或託管人將在該等證券第一個贖回日前從保管人中提取該等證券，除非該保管人已採用適用於所有參與者的公平抽籤分配系統。客戶也可在通知贖回前提取未被收回的證券，但須符合適用的保證金要求及任何客戶與富昌或託管人的合約條款。富昌或託管人被授權提取已沽或以其他方式處置的證券，及將所得款項存入客戶賬戶或以客戶指定的其他方式處理。富昌或託管人亦被授權收集有關客戶的外地證券的所有收入及其他到期的付款、放棄支付將到期債務及其贖回要求、及將臨時證書交換成正式證書或如股票面值有變，交換新的證書。客戶明白及同意，雖然富昌或託管人將以合理地盡力完成上述的授權，富昌或託管人將不因為富昌或託管人的失誤負上任何責任。

*可贖回證券是一種發行商可以在未來某一時間以約定價格購回部份或全部證券的投資產品。

客戶聲明、承諾及保證在本授權之有效期內客戶是為客戶證券之絕對擁有人及其不會受制於任何種類的留置權、抵押或產權負擔，在保證金客戶協議書所載除外（如適用）。

客戶完全明白第三者可能在客戶的外地證券有權益，富昌一定在有關權益清償後才歸還證券予客戶。

客戶謹此同意就富昌及託管人，因執行上述授權而可能產生、蒙受及／或承受一切虧損、損失、利息、費用、開支、法律訴訟、付款要求索償程式等等向富昌及託管人作出賠償，並保障富昌及託管人免受損害。

本授權的有效期為十二個月，自本授權之日起計有效。

本授權可於任何時候被客戶以書面通知富昌提出撤銷，該等通知之生效日期為富昌真正收到該等通知後之 10 個營業日起生效。然而在富昌收到撤銷的書面通知生效之前，任何富昌按本授權所達成的任何交易均不受該項撤銷所影響。

客戶確認並同意，富昌若在本授權的有效期屆滿前 14 日之前向客戶發出通知，提醒客戶本授權即將屆滿，而客戶沒有在授權屆滿前反對此授權續期，本授權應當作在不需要客戶以書面同意下按持續的基準已被續期。

如本授權中、英文本之解釋或意思有不相同，客戶同意以英文本為準。

客戶確實已向其解釋本授權，客戶完全明白本授權的內容，並已經或有機會就其內容及效力尋求法律顧問的意見。

客戶謹此同意以上所有授權、條文及條款。

客戶簽署 : _____

客戶名稱 : _____

香港身份證／護照號碼 : _____

賬戶號碼 : _____

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| Date | Year/Month/Day |
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STANDING AUTHORITY (CLIENT SECURITIES) – Foreign Securities

To: **Fulbright Securities Limited** (“the Company”) (CE No. AFB820)
Suites 3213-16,
One International Finance Centre,
1 Harbour View Street, Central,
Hong Kong

Re: Standing Authority under Securities and Futures (Client Securities) Rules

In respect of the management of and dealing in the Foreign Securities (as defined in the Cash Client’s Agreement or the Margin Client’s Agreement, as the case may be) in the Client’s Account as stated hereinbelow (the “**Account**”), the undersigned client (the “**Client**”) hereby give this Authority to the Company on the matters in relation to the Client Securities.

Unless the context otherwise requires, all the expressions used in this Authority shall have the same meanings as in the Securities and Futures Ordinance and the Securities and Futures (Client Securities) Rules as amended from time to time.

The Client hereby authorizes the Company, in the Company’s sole discretion, without having to provide the Client with any prior notice or to obtain the prior confirmation and/or direction of the Client to deposit the Client’s Foreign Securities to a licensed securities broker or custodian nominated by the Company (“**the Custodian**”) and to be held by the Custodian from time to time.

This Authority is given to the Company in consideration of the Company’s agreeing to continue to maintain the Account for the Client in dealing in Foreign Securities.

The Authority does not cover any consideration the Client must pay or be paid for the depositing any of the Foreign Securities of the Client. Any such consideration must be set out in a separate agreement between the Client and the Company.

Until further notice, the Client’s Foreign Securities in the Account will be carried by the Custodian. In the event that the Custodian acts as custodian for the securities and other property in relation to Foreign Securities in the Account, the Company or the Custodian through the Company are authorized, subject to Client’s instructions, to register such securities in its name or the name of the Company or the Custodian or their nominees, or cause such Foreign Securities to be registered in the name of or the nominee of a recognized depository or clearing organization.



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The Client understands and acknowledges that when the Company or the Custodian holds on Client's behalf the Foreign Securities which are callable* in part by issuer, such securities will be subject to the Company or its impartial lottery allocation system in which the probability of Client's securities being selected as called is proportional to the holdings of all clients of such securities held in bulk by or for the Company or the Custodian. The Client further understands that the Company or the Custodian will withdraw such securities from any depository prior to the first date on which such securities may be called unless such depository has adopted an impartial lottery system which is applicable to all participants. The Client may withdraw uncalled securities prior to a partial call subject to compliance with applicable margin requirements and the terms of any agreements between the Company or the Custodian and the Client. The Company or the Custodian are authorized to withdraw Foreign Securities sold or otherwise disposed of, and to credit Client's account with the proceeds thereof or make such other disposition thereof as the Client may direct. The Company or the Custodian are further authorized to collect all income and other payments which may become due on the Client's Foreign Securities, to surrender for payment maturing obligations and those called for redemption and to exchange certificates in temporary form for like certificates in definitive form, or, if the par value of any shares is changed, to effect the exchange for new certificates. It is understood and agreed by the Client that although the Company or the Custodian will use reasonable efforts to effect the authorization set forth in the preceding sentence, the Company or the Custodian will incur no liability for the Company's or the Custodian's failure to effect the same.

The Client declares, undertakes and warrants that the Client has the absolute ownership of the Client's Foreign Securities free from all liens, charges and encumbrances during the continuance in force of this Authority save and except for those stipulated in the Margin Client's Agreement, if applicable.

The Client fully understands that a third party may have rights to the Client's Foreign Securities which the Company must satisfy before the Client's Securities can be returned to the Client.

The Client hereby agrees to indemnify, and to keep indemnified, the Company and the Custodian and all their directors, officers, agents, representatives and employees from and against all losses, damages, interests, costs, expenses, actions, demands, claims or proceedings of whatsoever nature which they (or any of them) may incur, suffer and/or sustain as a consequence of any transaction undertaken in pursuance of this Authority.

* A callable security is a type of securities that allows the issuer the right to redeem it at predetermined prices at a specified time.



Fulbright Securities Limited
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This Authority is valid for a period of twelve (12) months from the date hereof.

This Authority may be revoked by the Client at any time by serving on the Company written notice to that effect. Such revocation shall not take effect upon the expiry of 10 days from the date of the Company's actual receipt by the Company of such written notice and shall not affect any transaction undertaken by the Company pursuant to this Authority prior to such revocation taking effect.

The Client acknowledges and agrees that this Authority shall be deemed to be renewed on a continuing basis without the Client's written consent if the Company issues the Client a written reminder at least fourteen (14) days prior to the expiry date of this Authority, and the Client does not object to such deemed renewal before such expiry date.

In the event of any difference in interpretation or meaning between the English and Chinese version of this Authority, the Client agrees that the English version shall prevail.

The Client confirms that this Authority has been explained to the Client and the Client fully understands the contents of this Authority and has sought, or has had the opportunity to seek, legal advice concerning its contents, meanings and affect.

The Client hereby agrees to all the above authority, terms and conditions.

Client Signature : _____

Client Name : _____

HKID / Passport No. : _____

Account No. : _____